

FORM PTO-1449 <u>INFORMATION DISCLOSURE STATEMENT</u>			ATTY. DOCKET NO. 2066.0010009	APPLICATION NO. 10/677,480
			FIRST NAMED INVENTOR Mark G. GILBRETH	FILING DATE October 3, 2003

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
CP	AA1 2,864,244	12/1958	Prachar			
CB	AB1 3,124,924	3/1964	Smith			
MAY 24 2004	AC1 3,250,973	5/1966	Dawson			
TRADE	AD1 3,569,809	3/1971	Comer			
RA	AE1 3,591,844	7/1971	Schonebeck			
R	AF1 3,703,076	11/1972	Hagemeister			
R	AG1 3,724,214	4/1973	Bryant			
R	AH1 3,764,815	10/1973	Habock <i>et al.</i>			
R	AI1 3,809,914	5/1974	Kilgore <i>et al.</i>			
R	AJ1 3,829,758	8/1974	Studtmann			
R	AK1 3,937,974	2/1976	Lafuze			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
R	0 472 294	2/1992	EP			Yes
AL1						No
R	0 679 800	11/1995	EP			Yes
AM1						No
R	0 837 231	4/1998	EP			Yes
AN1						No
R	0 901 218	3/1999	EP			Yes
AO1						No
R	WO 94/27359	11/1994	WO			Yes
AP1						No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

R	AR	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese Serial No. 133003/1999.
R	AS	1	Japanese Patent Application Abstract entitled "Command and Control System and Method for Multiple Turbogenerators", filed October 27, 1999, Japanese Serial No. 305375/1999.
R	AT	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial No. 220231/1998.

EXAMINER

DATE CONSIDERED

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		FIRST NAMED INVENTOR Mark G. GILBRETH	
		FILING DATE October 3, 2003	ART UNIT 2838 <i>2838</i>

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>G</i>	AA2	3,991,357	11/1976	Kaminski			
<i>A</i>	AB2	4,005,581	2/1977	Aanstad			
<i>A</i>	AC2	4,015,187	3/1977	Sasaki et al.			
<i>A</i>	AD2	4,039,914	8/1977	Steigerwald et al.			
<i>A</i>	AE2	4,093,869	6/1978	Hoffmann et al.			
<i>A</i>	AF2	4,119,861	10/1978	Gocho			
<i>A</i>	AG2	4,258,424	3/1981	Giras et al.			
<i>A</i>	AH2	4,265,099	5/1981	Johnson et al.			
<i>A</i>	AI2	4,292,534	9/1981	Diegel et al.			
<i>A</i>	AJ2	4,340,820	7/1982	Meyer-Pittroff et al.			
<i>A</i>	AK2	4,401,938	8/1983	Cronin			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
<i>G</i>	AL2	WO 98/25014	6/1998	WO			Yes No
<i>A</i>	AM2	WO 99/32762	7/1999	WO			Yes No
<i>A</i>	AN2	WO 99/52193	10/1999	WO			Yes No
<i>A</i>	AO2	WO 00/28191	5/2000	WO			Yes No
<i>A</i>	AP2	6-108879	04/1994	Japan			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	2	English Language Abstract for Japanese Patent 6-108879 (April 1994).
	AS	2	
	AT	2	
EXAMINER			DATE CONSIDERED <i>11/21/06</i>

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
R	AA3	4,442,385	4/1984	Van Sickle	7	7	7
R	AB3	4,471,229	9/1984	Plohn et al.	7	7	7
R	AC3	4,481,459	11/1984	Mehl et al.	7	7	7
R	AD3	4,498,551	2/1985	Arbisi	7	7	7
R	AE3	4,551,980	11/1985	Bronicki	7	7	7
R	AF3	4,560,364	12/1985	Cohen	7	7	7
R	AG3	4,565,957	1/1986	Gary et al.	7	7	7
A	AH3	4,684,814	8/1987	Radomski	7	7	7
A	AI3	4,709,318	11/1987	Gephart et al.	7	7	7
A	AJ3	4,719,550	1/1988	Powell et al.	7	7	7
A	AK3	4,730,397	3/1988	Weiford et al.	7	7	7

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3						Yes
	AM3						No
	AN3						Yes
	AO3						No
	AP3						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	3	
	AS	3	
	AT	3	

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1/12/06

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>g</i>	AA4	4,754,607	7/1988	Mackay			
<i>g</i>	AB4	4,757,686	7/1988	Kawamura <i>et al.</i>			
<i>h</i>	AC4	4,772,802	9/1988	Glennon <i>et al.</i>			
<i>h</i>	AD4	4,786,852	11/1988	Cook			
<i>x</i>	AE4	4,802,882	2/1989	Heidrich			
<i>r</i>	AF4	4,830,412	5/1989	Raad <i>et al.</i>			
<i>h</i>	AG4	4,833,887	5/1989	Kawamura <i>et al.</i>			
<i>h</i>	AH4	4,841,216	6/1989	Okada <i>et al.</i>			
<i>h</i>	AI4	4,862,009	8/1989	King			
<i>r</i>	AJ4	4,883,973	11/1989	Lakey <i>et al.</i>			
<i>h</i>	AK4	4,908,565	3/1990	Cook <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4						Yes
	AM4						No
	AN4						Yes
	AO4						No
	AP4						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>4</u>	
	AS	<u>4</u>	
	AT	<u>4</u>	

EXAMINER

Ref - 1

DATE CONSIDERED

11/12/06

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FORM PTO-1449 <u>INFORMATION DISCLOSURE STATEMENT</u>		ATTY. DOCKET NO. 2066.0010009	APPLICATION NO. 10/577,480
		FIRST NAMED INVENTOR Mark G. GILBRETH	FILING DATE October 3, 2003
		ART UNIT 2838	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>g</i>	AA5	4,939,441	7/1990	Dhyanchand	<i>T</i>	<i>T</i>	<i>T</i>
<i>a</i>	AB5	4,955,199	9/1990	Kawamura	<i>T</i>	<i>T</i>	<i>T</i>
<i>n</i>	AC5	4,967,096	10/1990	Diemer et al.			
<i>n</i>	AD5	4,968,926	11/1990	Dhyanchand			
<i>x</i>	AE5	4,982,569	1/1991	Bronicki			
<i>f</i>	AF5	5,013,929	5/1991	Dhyanchand			
<i>n</i>	AG5	5,015,941	5/1991	Dhyanchand			
<i>a</i>	AH5	5,029,062	7/1991	Capel			
<i>a</i>	AI5	5,038,566	8/1991	Hara			
<i>n</i>	AJ5	5,055,764	10/1991	Rozman et al.			
<i>n</i>	AK5	5,057,763	10/1991	Toni et al.	<i>✓</i>	<i>✓</i>	<i>✓</i>

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5						Yes No
	AM5						Yes No
	AN5						Yes No
	AO5						Yes No
	AP5						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AR	<u>5</u>					
	AS	<u>5</u>					
	AT	<u>5</u>					

EXAMINER	<i>Ref. I. A. m</i>	DATE CONSIDERED	<i>1/12/06</i>
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>PL</i>	AA6	5,068,590	11/1991	Glennon et al.			
<i>PL</i>	AB6	5,088,286	2/1992	Muraji			
<i>PL</i>	AC6	5,097,195	3/1992	Raad et al.			
<i>PL</i>	AD6	5,115,183	5/1992	Kyoukane et al.			
<i>PL</i>	AE6	5,158,504	10/1992	Stocco			
<i>PL</i>	AF6	5,191,520	3/1993	Eckersley			
<i>PL</i>	AG6	5,214,371	5/1993	Naidu			
<i>PL</i>	AH6	5,237,260	8/1993	Takakado et al.			
<i>PL</i>	AI6	5,250,890	10/1993	Tanamachi et al.			
<i>PL</i>	AJ6	5,252,860	10/1993	McCarty et al.			
<i>PL</i>	AK6	5,260,637	11/1993	Pizzi			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL6						Yes No
	AM6						Yes No
	AN6						Yes No
	AO6						Yes No
	AP6						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AR	<u>6</u>					
	AS	<u>6</u>					
	AT	<u>6</u>					

EXAMINER	<i>PL</i>	DATE CONSIDERED	<i>11/12/06</i>
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>LG</i>	AA7	5,281,905	1/1994	Dhyanchand <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
	AB7	5,291,106	3/1994	Murty <i>et al.</i>			
	AC7	5,309,081	5/1994	Shah <i>et al.</i>			
	AD7	5,325,043	6/1994	Parro			
	AE7	5,345,154	9/1994	King			
	AF7	5,363,032	11/1994	Hanson <i>et al.</i>			
	AG7	5,364,309	11/1994	Heidrich <i>et al.</i>			
	AH7	5,373,195	12/1994	De Doncker <i>et al.</i>			
	AI7	5,381,081	1/1995	Radun			
	AJ7	5,387,859	2/1995	Murugan <i>et al.</i>			
<i>LG</i>	AK7	5,404,092	4/1995	Gegner	<i>✓</i>	<i>✓</i>	<i>✓</i>

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes
	AM7						No
	AN7						Yes
	AO7						No
	AP7						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AR	<u>7</u>					
	AS	<u>7</u>					
	AT	<u>7</u>					

EXAMINER	<i>LG-Car</i>	DATE CONSIDERED	<i>1/12/06</i>
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>LG</i>	AA8	5,406,797	4/1995	Kawamura	<i>LG</i>	<i>T</i>	<i>T</i>
<i>LG</i>	AB8	5,428,275	6/1995	Carr et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>LG</i>	AC8	5,430,362	7/1995	Carr et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>LG</i>	AD8	5,477,091	12/1995	Fiorina et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>LG</i>	AE8	5,488,286	1/1996	Rozman et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>LG</i>	AF8	5,495,162	2/1996	Rozman et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>LG</i>	AG8	5,495,163	2/1996	Rozman et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>LG</i>	AH8	5,510,696	4/1996	Naidu et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>LG</i>	AI8	5,512,811	4/1996	Latos et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>LG</i>	AJ8	5,544,484	8/1996	Voss et al.	<i>T</i>	<i>T</i>	<i>T</i>
<i>LG</i>	AK8	5,545,090	8/1996	Kirschey	<i>T</i>	<i>T</i>	<i>T</i>

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL8						Yes
	AM8						No
	AN8						Yes
	AO8						No
	AP8						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>8</u>	
	AS	<u>8</u>	
	AT	<u>8</u>	

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>g</i>	AA9	5,546,742	8/1996	Shekhawat <i>et al.</i>	<i>7</i>	<i>7</i>	<i>7</i>
<i>g</i>	AB9	5,550,410	8/1996	Titus	<i>7</i>	<i>7</i>	<i>7</i>
<i>g</i>	AC9	5,550,455	8/1996	Baker	<i>7</i>	<i>7</i>	<i>7</i>
	AD9	5,559,421	9/1996	Miyakawa	<i>7</i>	<i>7</i>	<i>7</i>
	AE9	5,563,802	10/1996	Plahn <i>et al.</i>	<i>7</i>	<i>7</i>	<i>7</i>
	AF9	5,568,023	10/1996	Grayer <i>et al.</i>	<i>7</i>	<i>7</i>	<i>7</i>
	AG9	5,572,108	11/1996	Windes	<i>7</i>	<i>7</i>	<i>7</i>
	AH9	5,581,168	12/1996	Rozman <i>et al.</i>	<i>7</i>	<i>7</i>	<i>7</i>
	AI9	5,587,647	12/1996	Bansal <i>et al.</i>	<i>7</i>	<i>7</i>	<i>7</i>
<i>g</i>	AJ9	5,594,322	1/1997	Rozman <i>et al.</i>	<i>7</i>	<i>7</i>	<i>7</i>
<i>g</i>	AK9	5,637,987	6/1997	Fattic <i>et al.</i>	<i>7</i>	<i>7</i>	<i>7</i>

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL9						Yes No
	AM9						Yes No
	AN9						Yes No
	AO9						Yes No
	AP9						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AR	<u>9</u>					
	AS	<u>9</u>					
	AT	<u>9</u>					

EXAMINER	<i>R. J. G.</i>	DATE CONSIDERED	<i>1/12/06</i>
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U.S. PATENT DOCUMENTS							
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<i>LG</i>	AA10	5,646,458	7/1997	Bowyer et al.			
<i>LG</i>	AB10	5,656,915	8/1997	Eaves			
<i>LG</i>	AC10	5,743,227	4/1998	Jacquet et al.			
	AD10	5,767,637	6/1998	Lansberry			
	AE10	5,789,881	8/1998	Egami et al.			
	AF10	5,799,484	9/1998	Nims			
	AG10	5,819,524	10/1998	Bosley et al.			
	AH10	5,847,522	12/1998	Barba			
	AI10	5,903,116	5/1999	Geis et al.			
	AJ10	5,905,346	5/1999	Yamada et al.			
<i>LG</i>	AK10	5,929,537	7/1999	Glennon			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL10						Yes
	AM10						No
	AN10						Yes
	AO10						No
	AP10						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	<u>10</u>	
	AS	<u>10</u>	
	AT	<u>10</u>	

EXAMINER	<i>LG, LCN</i>	DATE CONSIDERED	<u>1/12/06</u>
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>B</i>	AA11	5,936,312	8/1999	Koide <i>et al.</i>			
<i>B</i>	AB11	5,939,794	8/1999	Sakai <i>et al.</i>			
	AC11	5,946,086	8/1999	Bruce			
	AD11	5,982,645	11/1999	Levran <i>et al.</i>			
	AE11	5,998,976	12/1999	Steffan			
	AF11	6,005,297	12/1999	Sasaki <i>et al.</i>			
	AG11	6,020,713	2/2000	Geis <i>et al.</i>			
	AH11	6,023,135	2/2000	Gilbreth <i>et al.</i>			
	AI11	6,031,294	2/2000	Geis <i>et al.</i>			
	AJ11	6,051,951	4/2000	Arai <i>et al.</i>			
<i>B</i>	AK11	6,054,776	4/2000	Sumi			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL11						Yes No
	AM11						Yes No
	AN11						Yes No
	AO11						Yes No
	AP11						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AR	<u>11</u>					
	AS	<u>11</u>					
	AT	<u>11</u>					

EXAMINER	<i>g. l. g.</i>	DATE CONSIDERED	<u>11/12/06</u>
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>g</i>	AA12	6,064,122	5/2000	McConnell	<i>T</i>	<i>7</i>	<i>g</i>
<i>g</i>	AB12	6,087,734	7/2000	Maeda et al.			
<i>g</i>	AC12	6,107,775	8/2000	Rice et al.			
	AD12	6,147,414	11/2000	McConnell et al.			
	AE12	6,153,942	11/2000	Roseman et al.			
	AF12	6,169,332 B1	1/2001	Taylor et al.			
	AG12	6,169,334 B1	1/2001	Edelman			
	AH12	6,175,210 B1	1/2001	Schwartz et al.			
	AI12	6,194,794 B1	2/2001	Lampe et al.			
	AJ12	6,487,096	11/2002	Gilbreth et al.			
<i>a</i>	AK12	6,487,096 B1	11/2001	Bhargava	<i>7</i>	<i>7</i>	<i>g</i>

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL12						Yes No
	AM12						Yes No
	AN12						Yes No
	AO12						Yes No
	AP12						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	12	
	AS	12	
	AT	12	

EXAMINER	<i>g. a. m.</i>	DATE CONSIDERED	<i>11/2/06</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.			